

## CPD TRAINING

# ANTI-MONEY LAUNDERING & COUNTER TERRORIST FINANCING

EVERYTHING YOU WANTED TO KNOW ABOUT  
MANAGING MONEY LAUNDERING RISK.

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## ABOUT THE SPEAKER

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**MATHEW BEALE** FCSI AIFP  
PRINCIPAL DIRECTOR OF  
COMSURE GROUP

**Mathew Beale** is a Fellow of the Chartered Institute for Securities & Investment and Principal and Director of the “Comsure Group of Companies” which was founded in 2005. Comsure provides regulatory risk advisory services to regulated business in the Channel Islands and elsewhere.

Significant projects undertaken by Mathew as a compliance professional include: internal compliance audits and associated matters; rectification programmes following regulatory inspections; assisting legal teams in proceedings against regulated bodies; reporting professional projects; preparation of AML guidance notes for a regulator; and assisting private and public bodies with industry wide compliance and AML training. Mathew was also a senior lecturer for the International Compliance Association's (ICA) and co-author on materials used by the ICA and other training bodies.



**4 HALF-DAY  
WORKSHOPS**

TO SUIT ALL LEVELS OF DELEGATES  
EARLY BIRD FEE AVAILABLE

MQA APPROVED

## AML REFRESHER TRAINING

Monday 17th July 2017

Mauritius has enacted AML/CFT legislation, to deal with money laundering and terrorism activities and against these enactments **ALL EMPLOYEES** should have regular training to ensure they are aware of their responsibilities as well as their personal liabilities.

This 3 Hour Refresher Course is targeted at individuals who have a good basic knowledge of the Mauritian Anti-Money Laundering regime and attendance will satisfy the regulatory requirement on the firm to ensure their staff are regularly updated on all these matters.

## AML FOR THE BOARD

Tuesday 18th July 2017

### AML UPDATE FOR DIRECTORS AND SENIOR MANAGERS - ARE BOARDS GETTING THE RIGHT LEVEL OF INFORMATION?

It is imperative that CEO's, directors (including NEDS), their equivalents and senior management colleagues in all regulated firms fully understand their collective and personal obligations, to avoid suffering

- 1 **Criminal sanctions** – including vicarious liabilities and maximum prison sentences *up to 14 years!!!*
- 2 **Civil sanction** – including Constrictive trust and Dishonest assistance
- 3 **Regulatory sanctions** – including loss of licence and or job

The aim of this briefing session is to provide Senior Management (i.e. those who constitute a firm's governing body) with a concise update on the implications, both for their firms and for them as individuals, arising from the ongoing obligations from current legislation and regulation.

## AML FOR COMPLIANCE AND THE MLRO

Wednesday 19th July 2017

The MLRO & MLCO & Senior Management with Delegated AML Compliance Responsibilities. So often, MLROs are so busy ensuring that everyone else in their firm receives the AML training, that the need to keep up to date as the MLRO gets overlooked. To assist you with this, Comsure has developed a half-day workshop which looks at the current areas of interest to AML regulators, enhanced with case histories and studies. The workshop will also provide Senior Managers holding the delegated AML compliance responsibility on behalf of their firms' governing bodies (but who are not also the MLRO) a concise update on the matters of current interest and concern to those in such managerial positions.

## AML RISKS ASSOCIATED WITH SARS

Thursday 20th July 2017

### THE CHALLENGE FOR A MLRO AND OR AN EMPLOYEE (INCLUDING DIRECTORS) CAN BE DECIDING... AM I SUSPICIOUS?

Failing to submit an appropriate Suspicious Activity Report (SAR) can lead to big trouble and can result in a criminal prosecution, as shown in the Jersey prosecution of a regulated business and its MLRO (AG -V- STM Fiduciary Limited and Michelle Jardine).

Comsure will provide practical advice for the high-wire balancing act of when – and when not – to file a SAR. THE WORKSHOP WILL CONSIDER; [1] Decision-making methodologies that you can apply enterprise-wide [2] Separating anomalies from red flags & [3] How to replace subjective judgment calls with clearly objective determinations

## WHY SHOULD YOU ATTEND?

### MAYBE A RECENT TESTIMONIAL MAY ANSWER THE QUESTION

*"The mention of compliance training to the majority of staff will result in groans, moans and excuses for not attending. However, add the magic words "being presented by Mathew Beale", and suddenly there is a queue to attend."*

*Mathew makes the mundane interesting and without scaring the attendees manages to make them aware of the pitfalls and consequences of non-compliance. Valla Limited is happy to recommend his services to all in need of training and refresher courses in this highly complex area."*

To read this and other testimonials click [HERE](#)



Date & Time	Course Title	Venue	Cost Per Workshop
<b>Mon 17th July 2017</b> 08:30 AM – 13:00 PM	<b>Day 1 - Half Day Public Workshop</b> AML Refresher Training (for all employees)	Labourdonnais Waterfront Hotel	<b>EARLY BIRD FEE: US \$400</b> Registration and Payment must be made before 3rd July 2017  <b>PARTICIPATION FEE: US \$500</b> for bookings on and after 3rd July 2017  <b>10% discount for bookings of more than 2 persons.</b>
<b>Tues 18th July 2017</b> 08:30 AM – 13:00 PM	<b>Day 2 - Half Day Public Workshop</b> AML For The Board	Labourdonnais Waterfront Hotel	
<b>Wed 19th July 2017</b> 08:30 AM – 13:00 PM	<b>Day 3 - Half Day Public Workshop</b> AML For Compliance and the MLRO	Labourdonnais Waterfront Hotel	
<b>Thurs 20th July 2017</b> 08:30 AM – 13:00 PM	<b>Day 4 - Half Day Public Workshop</b> AML Risks associated with SARS	Labourdonnais Waterfront Hotel	

## IN-HOUSE TRAINING

### Hold this course at your convenience

This programme and the issues it addresses can be delivered at a time, location and place to fit your business, schedule. In-house training can be tailored to focus on the specific needs and challenges facing your staff. We will develop and provide all course materials and trainer to make your investment a success.

**For details on in-house training for this particular workshop, please contact CLBS Head Office**



MAURITIUS QUALIFICATIONS AUTHORITY

**MQA APPROVED**

# Registration Form



## Participant

Title

Mr

Mrs

Miss

First Name

Surname

Job Title

Qualifications

Email (PROFESSIONAL)

Email (PERSONAL)

## Company

Name

Postal Address

Tel

Fax

## ANTI-MONEY LAUNDERING & COUNTER TERRORIST FINANCING

Please register the above person for the following workshop(s): Please tick below your selected courses

☐

AML Refresher Training (Day 1)

☐

The AML For Compliance And The MLRO (Day 3)

☐

AML For The Board (Day 2)

☐

AML Risks Associated With Sars (Day 4)

## PARTICIPATION FEE: US \$500

For bookings on and after 3rd July 2017

## EARLY BIRD FEE: US \$400

Registration and Payment must be made before 3rd July 2017

10% discount for bookings of more than 2 persons.

## PAYMENT

A CROSSED CHEQUE OR BANKERS DRAFT (ACCOUNT PAYEE ONLY)  
PAYABLE TO CLBS IS ENCLOSED FOR THE AMOUNT OF

\$

BANK:

State Bank Of Mauritius

BANK ADDRESS:

State Bank Tower, 1 Queen Elizabeth II Avenue, Port Louis, Mauritius

ACCOUNT NAME:

Centre for Legal and Business Studies Ltd

ACCOUNT NO:

62026000002804

IBAN NO:

MU50STCB1180026000002804000000

**MQA APPROVED - THIS APPROVAL WILL ENTITLE FIRMS TO CLAIM A REFUND OF UP TO 60% OF COURSE FEES PAID.**

**NOTE:** COMPLETED REGISTRATION FORMS ALONG WITH FULL PAYMENT OF THE COURSE SHOULD REACH CLBS HEAD OFFICE BY 13TH MAY 2016 AT LATEST. A REGISTRATION BY A PROSPECTIVE PARTICIPANT CREATES A BINDING CONTRACT BETWEEN THE PARTICIPANT AND THE ORGANISERS. FOR HRDC PURPOSE, PLEASE NOTE THAT THE AMOUNT ON THE OFFICIAL RECEIPT SHALL BE IN THE RUPEES EQUIVALENT OF THE COURSE FEES AT THE EXCHANGE RATE OF 1USD: RS 35