



REGULATORY RISK ACADEMY - 2015

INTRODUCTION

Comsure and Collas Crill (collectively CCL) have launched a Regulatory Risk Academy, that comprises a series of 4 interactive training sessions throughout 2015.

In addition, each workshop will be followed up with an online test for those who want to test themselves against the topics discussed.

There is also the opportunity to WIN £100.00 after each workshop – Comsure will set an on-line test after each workshop to test your knowledge retention and the delegate with the highest score will be presented a £100.00 cheque at the next academy Workshop. Sign up now for a test.

BACKGROUND

The series has been designed to meet the growing demand for up-to-the-minute training and information in the risk and regulatory space.

- **Collas Crill** risk and regulatory expert **Paul Wilson** said: "It won't come as a surprise to anyone that regulatory interactions and interventions have taken on a whole new character, post-financial crisis".
- **Comsure's** Managing Director, **Mathew Beale**, added "No longer is it enough to just know the rules; leading financial services businesses must try to stay two steps ahead - to do this they have to get into the mind-set of the regulator and take proactive steps to make sure they can deal with the issues that really matter".

WHO?

The series of lunchtime seminars will be of interest to all regulated employees as well as a wide range of professionals tackling risk and regulatory issues and keeping an eye on the Jersey's regulatory landscape, including:

- Directors
- Finance Officers
- MLROs
- Compliance Officers
- CEOs
- Administrators
- Risk Officers
- NED's

SPEAKERS, TOPICS & DATES

Both **Comsure's** and **Collas Crill's** expert speakers have considerable experience dealing with regulators, advising clients on contentious and non-contentious regulatory issues, and delivering training to clients on their approach to regulation. Speakers, topics and dates are as follows:

SPEAKERS INCLUDE: Mark Rawlins, David Dorgan, Paul Wilson, Nick Davies & Mathew Beale

TOPICS AND DATES:

- Funds [26th March] (*been and gone*)
- Trust And Company [22 September]
- Investment Business & Private Wealth [15th June]
- Investment Businesses, Retail [20th October]

WORKSHOP DETAILS



DATE:

15th June 2015 - IB Private Wealth
22nd Sept 2015 - Trust & Company
20th Oct 2015 - IB Retail

TIME:

11:45 Registration & Buffet Lunch
12:15 Start
13:45 Finish & networking with speakers

VENUE: The Royal Yacht

CPD: 1.5 hours

COST: £80 per workshop
£15 per online test

Discounts are offered for multiple (more than 3) attendee bookings on the same day event and or multi events. Please contact Comsure for a confirmation of the discount rate.

PLEASE NOTE – THE DISCOUNTS ABOVE (OFFER 1&2) ARE OFFERED SINGULARLY AND CANNOT BE COMBINED AND ALL DISCOUNT BOOKINGS MUST BE MADE USING THE BOOKING FORM MANUALLY AND FORWARDED TO COMSURE BY POST/EMAIL/FAX.

IN-HOUSE TRAINING - Hold this course at your convenience

This programme and the issues it addresses can be delivered at a time, location and place to fit your business schedule. Training can be tailored to focus on your specific needs and challenges facing your staff. We will develop and provide all course materials to make your investment a success. For details for this particular workshop, please contact Mathew Beale. Call: 01534 626841 or Email: mathewbeale@comsuregroup.com

WORKSHOP STRUCTURE

Each workshop will provide delegates with:

1. A general regulatory news update from Jersey and elsewhere that will include any AML matters.
2. A specific industry topic – industry sectors covered will include;
 - a. Investment Business Private Wealth, (15 June)
 - b. Trust and Company, (22 September)
 - c. Investment Business Retail (20 October)
3. Questions from the audience – delegates will be encouraged to send in their specific questions before each presentation as well as ask any on the day of the event.

COST:

ACADEMY WORKSHOP- £80.00 - per workshop

ACADEMY ONLINE TEST - £15.00 - per online test

Discounts are offered for multiple (more than 3) attendee bookings on the same day event and or multi events. Please contact Comsure for a confirmation of the discount rate.

CPD & EVENT ONLINE TEST & END OF YEAR PRICE

- Each workshop will provide 1.5hrs CPD and certificate will be issued after the event.
- Furthermore delegates can elect to take a short on-line test that will provide an assessment of understanding. Each test will generate 0.5hrs CPD and certificate will be issued to those that pass.

ABOUT THE COMPANIES

COLLAS CRILL - provide a broad range of services to assist regulated businesses in dealing with the ever increasing pressure in the areas of compliance, regulation and risk management. Their work ranges from strategic matters to specific operational issues, with a view to ensuring that organisations, and their staff, remain protected from the risk of investigations, prosecution, penalties and even loss of licence.

The team works extensively with established regulated businesses from every sector, helping them to improve their processes or deal with new issues as they arise. They also have a wealth of experience in steering new ventures through the traps of the establishment and licensing phase.

COMSURE - Whether it's an authorisation of regulatory matter, a need for training or an issue of regulatory interpretation, we can help you.

Comsure's team of regulatory and compliance experts are well versed in providing top level advice to help you to minimise your business' exposure to risk. As well as having an experienced team of ex-regulators and practitioners Comsure has entered a number of strategic alliances with other service professionals to ensure your risks are mitigated.

BOOKING & INVOICE CONFIRMATION



Senior Management
Advisory



Compliance



Training



Sanctions & PEP
Screening



Due Diligence



Technology

Please return this form by email to training@comsuregroup.com or by post to the address below.

COURSE INFORMATION:

Course name:

Course date:

COMPANY INFORMATION:

Company name:

Person booking this course / key contact: (if not the delegate)

Key contacts telephone number:

Key contacts email address:

Key contact's email:

DELGATES INFORMATION:

Delegates name:

Delegates job title:

Delegates email address:

Delegates name:

Delegates job title:

Delegates email address:

Delegates name:

Delegates job title:

Delegates email address:

Delegates name:

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10% DISCOUNT OFFERED FOR 3 OR MORE PERSONS. PLEASE CONTACT COMSURE AT TRAINING@COMSURE-GROUP.COM. FOR MORE DETAILS PLEASE SEE WORKSHOP OUTLINE OR EMAIL: TRAINING@COMSUREGROUP.COM

BOOKING DETAILS (AND TERMS AND CONDITIONS)

Booking form and payment instruction - Please ensure the details provided in the booking form are clear and concise and ensure you reference your payment with your **name & course/test title**.

Confirmation: You will receive confirmation from Comsure within 4 working days of registering.

Payment with discounts - Payment associated to a discount offer (e.g. early bird) is due within 4 business days of the booking being received (whether electronically or by any other means) by Comsure. **LATE PAYMENTS & DISCOUNTS** - Where payment is not paid within 4 business days all discounts will be void and full payment will be applicable and must be paid in accordance to the usual payment terms below.

Payment - Outside of above discount terms payment is due within 10 business days OR before the day of the event whichever is the soonest of the booking being received (whether electronically or by any other means) by Comsure.

LATE PAYMENTS - for all late payments Comsure retains the right to charge a minimum administration fee of £40. This minimum is dependent on the size of the delayed payment (debt) and the time to recover it. In addition interest will be charged on the unpaid amount at 8% plus the Bank of England base rate.

Cancellation: A full refund, less 20% per person administrative charge, will be made for cancellations made by letter and received 10 business days before the event. Thereafter, we regret that no refunds can be made. This also applies to delegates who are unable to attend on the day. However, complete substitutions for any of the workshops are welcome.

Other Information: The organisers reserve the right to change the venue, speakers or programme. We also reserve the right to cancel the programme, in which case all fees will be refunded.

COURSE INFORMATION:

Course name:

Course date:

This Form Represents an **Invoice** and **Confirmation** of Payment Method

Invoice Reference:

Payment: (All payments must be made in accordance with the above terms and conditions)

PLEASE NOTE: CREDIT CARD bookings can be made on-line

PAYMENT AMOUNT:

BOOKING DATE

PLEASE TICK IF PAYING BY CHEQUE.

Please make cheques payable to **COMSURE**

and send to:

Accounts Manager

Comsure

1 Bond Street,

St Helier, JE2 3NP

PLEASE TICK IF YOU ARE MAKING ELECTRONIC PAYMENT.

Electronic payment can be made directly to Comsure by transferring the full amount (include any charges) to:

Comsure Compliance Ltd

NATWEST, Jersey Branch

Sort: 60-12-03 A/C: 26400669

Please quote reference **'YOUR CO NAME + DATE OF COURSE'**

DATA PROTECTION

For details on Comsure's data protection policies please refer to www.comsuregroup.com. If in the meantime you do not wish to receive any further communications from Comsure, please tick here