

REGULATORY RISK ACADEMY - 2015

INTRODUCTION

Comsure and **Collas Crill** (collectively CCL) have launched a Regulatory Risk Academy, that comprises a series of 4 interactive training sessions throughout 2015.

In addition each workshop will be followed up with an online test for those who want to test themselves against the topics discussed.

There is also the opportunity to **win a cash prize of £500!** The delegate with the highest cumulative score from the 4 online tests (having also attended all 4 workshops) will be awarded the inaugural **CCL Compliance Academy Trophy** together with the prize.

BACKGROUND

The series has been designed to meet the growing demand for up-to-the-minute training and information in the risk and regulatory space.

- **Collas Crill** risk and regulatory expert **Paul Wilson** said: "It won't come as a surprise to anyone that regulatory interactions and interventions have taken on a whole new character, post-financial crisis".
- **Comsure's** Managing Director, **Mathew Beale**, added "No longer is it enough to just know the rules; leading financial services businesses must try to stay two steps ahead - to do this they have to get into the mind-set of the regulator and take proactive steps to make sure they can deal with the issues that really matter".

WHO?

The series of lunchtime seminars will be of interest to all regulated employees as well as a wide range of professionals tackling risk and regulatory issues and keeping an eye on the Jersey's regulatory landscape, including:

- Directors
- Finance Officers
- MLROs
- Compliance Officers
- CEOs
- Administrators
- Risk Officers
- NED's

SPEAKERS, TOPICS & DATES

Both **Comsure's** and **Collas Crill's** expert speakers have considerable experience dealing with regulators, advising clients on contentious and non-contentious regulatory issues, and delivering training to clients on their approach to regulation. Speakers, topics and dates are as follows:

SPEAKERS INCLUDE: Mark Rawlins, David Dorgan, Paul Wilson, Nick Davies & Mathew Beale

TOPICS AND DATES:

- Funds [24 March]
- Trust And Company [22 September]
- Investment Business & Private Wealth [12th May]
- Investment Businesses, Retail [20th October]

WORKSHOP DETAILS



DATE:

26th March 2015 - Funds
12th May 2015 - IB Private Wealth
22nd Sept 2015 - Trust & Company
20th Oct 2015 - IB Retail

TIME:

11:45 Registration & Buffet Lunch
12:15 Start
13:45 Finish & networking with speakers

VENUE: The Royal Yacht

CPD: 1.5 hours

COST: £80 per workshop
£15 per online test

OFFER 1 - Cumulative discounts
[5%,10%,15%] are offered for **SINGLE APPLICANTS** on Multi-Workshops and Multi-test bookings (see booking form)

OFFER 2 - WHERE ONLY ONE EVENT IS BOOKED BUT MORE THAN ONE INDIVIDUAL IS BEING ELECTED FOR ATTENDANCE CUMULATIVE DISCOUNTS ARE ALSO OFFERED TO MULTI BOOKINGS [DISCOUNTS OFFERED = X2 DELEGATES = 5%, X3 DELEGATES 10%, X4 DELEGATES 15%]

PLEASE NOTE - THE DISCOUNTS ABOVE (OFFER 1&2) ARE OFFERED SINGULARLY AND CANNOT BE COMBINED AND ALL DISCOUNT BOOKINGS MUST BE MADE USING THE BOOKING FORM MANUALLY AND FORWARDED TO COMSURE BY POST/EMAIL/FAX

IN-HOUSE TRAINING - Hold this course at your convenience

This programme and the issues it addresses can be delivered at a time, location and place to fit your business schedule. Training can be tailored to focus on your specific needs and challenges facing your staff. We will develop and provide all course materials to make your investment a success. For details for this particular workshop, please contact Mathew Beale. Call: 01534 626841 or Email: mathewbeale@comsuregroup.com

WORKSHOP STRUCTURE

Each workshop will provide delegates with:

1. A general regulatory news update from Jersey and elsewhere that will include any AML matters.
2. A specific industry topic – industry sectors covered will include;
 - a. Funds, (26 March)
 - b. Investment Business Private Wealth, (12 May)
 - c. Trust and Company, (22 September)
 - d. Investment Business Retail (20 October)
3. Questions from the audience – delegates will be encouraged to send in their specific questions before each presentation as well as ask any on the day of the event.

COST:

ACADEMY WORKSHOP

£80.00 - per workshop - Cumulative discounts [5%, 10%, 15%] are offered where more than 1 booking is made e.g. attend all 4 workshops and receive a 15% discount.

ACADEMY ONLINE TEST

£15.00 - per online test - Cumulative discounts [5%, 10%, 15%] are offered where more than 1 test is booked e.g. take all 4 online tests and receive a 15% discount.

SPECIAL ONE OFF DISCOUNT – For those that sign up to the Academy (all 4 workshops and tests) on the 5th February 2015 will receive a special discount and one-off additional 10% discount of the total cost.

CPD & EVENT ONLINE TEST & END OF YEAR PRICE

- Each workshop will provide 1.5hrs CPD and certificate will be issued after the event.
- Furthermore delegates can elect to take a short on-line test that will provide an assessment of understanding. Each test will generate 0.5hrs CPD and certificate will be issued to those that pass.
- Delegates who attend all 4 workshops and take all 4 tests will have the opportunity of winning the best delegate of the year award.

BEST DELEGATE OF THE YEAR:

The delegate who has the best score over the 4 on-line tests will be awarded a prize of £500.00 as well as the inaugural CCL academy trophy.

ABOUT THE COMPANIES

COLLAS CRILL - provide a broad range of services to assist regulated businesses in dealing with the ever increasing pressure in the areas of compliance, regulation and risk management. Their work ranges from strategic matters to specific operational issues, with a view to ensuring that organisations, and their staff, remain protected from the risk of investigations, prosecution, penalties and even loss of licence.

The team works extensively with established regulated businesses from every sector, helping them to improve their processes or deal with new issues as they arise. They also have a wealth of experience in steering new ventures through the traps of the establishment and licensing phase.

COMSURE - Whether it's an authorisation of regulatory matter, a need for training or an issue of regulatory interpretation, we can help you.

Comsure's team of regulatory and compliance experts are well versed in providing top level advice to help you to minimise your business' exposure to risk. As well as having an experienced team of ex-regulators and practitioners Comsure has entered a number of strategic alliances with other service professionals to ensure your risks are mitigated.



BOOKING FORM

www.comsuregroup.com

Please return this form by email to training or by post to the address below.

Course/seminar title: Regulatory Academy for 2015!	
Course/seminar date: <input type="checkbox"/> 26th March 2015 - Funds	<input type="checkbox"/> 12th May 2015 - IB Private Wealth
<input type="checkbox"/> 22nd Sept 2015 - Trust & Company	<input type="checkbox"/> 20th Oct 2015 - IB Retail
Test date: <input type="checkbox"/> 26th March 2015 - Funds	<input type="checkbox"/> 12th May 2015 - IB Private Wealth
<input type="checkbox"/> 22nd Sept 2015 - Trust & Company	<input type="checkbox"/> 20th Oct 2015 - IB Retail
Delegate's name:	
Delegate's job title:	
Delegate's email address:	
Company name:	
Person booking this course/key contact: (if not the delegate)	
Key contact's telephone:	
Key contact's email:	

OFFER 1 - CUMULATIVE DISCOUNTS [5%,10%,15%] ARE OFFERED FOR SINGLE APPLICANTS ON MULTI-WORKSHOPS AND MULTI-TEST BOOKINGS (SEE BOOKING FORM)

OFFER 2 - WHERE ONLY ONE EVENT IS BOOKED BUT MORE THAN ONE INDIVIDUAL IS BEING ELECTED FOR ATTENDANCE CUMULATIVE DISCOUNTS ARE ALSO OFFERED TO MULTI BOOKINGS [DISCOUNTS OFFERED = X2 DELEGATES = 5%, X3 DELEGATES 10%, X4 DELEGATES 15%]

PLEASE NOTE - THE DISCOUNTS ABOVE (OFFER 1&2) ARE OFFERED SINGULARLY AND CANNOT BE COMBINED AND ALL DISCOUNT BOOKINGS MUST BE MADE USING THE BOOKING FORM MANUALLY AND FORWARDED TO COMSURE BY POST/EMAIL/FAX

BOOKING DETAILS

Please reference your payment with your name & course title.

Confirmation: You will receive confirmation from us within 4 working days of registering.

Cancellations: Payment is due once a booking has been made. A full refund, less 20% per person administrative charge, will be made for cancellations made by letter and received 10 working days before the event. Thereafter, we regret that no refunds can be made. This also applies to delegates who are unable to attend on the day. However, complete substitutions for any of the workshops are welcome.

Other Information: The organisers reserve the right to change the venue, speakers or programme. We also reserve the right to cancel the programme, in which case all fees will be refunded.

This Form Represents an Invoice and Confirmation of Payment

Payment:

Please note these discounts only apply if payment is made prior to the date of the workshop.

Payment made post event will warrant the full price of the workshop and online tests.

AMOUNT OF WORKSHOPS:	<input type="checkbox"/> x1: £80 <input type="checkbox"/> x2: £152 <input type="checkbox"/> x3: £216 <input type="checkbox"/> x4: £272
AMOUNT OF TESTS:	<input type="checkbox"/> x1: £15 <input type="checkbox"/> x2: £28.50 <input type="checkbox"/> x3: £40.50 <input type="checkbox"/> x4: £51
TOTAL AMOUNT:	£

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PLEASE TICK IF PAYING BY CHEQUE.

Please make cheques payable to **COMSURE** and send to:
Accounts Manager
Comsure
1 Bond Street,
St Helier,
JE2 3NP

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PLEASE TICK IF YOU ARE MAKING ELECTRONIC PAYMENT.

Electronic payment can be made directly to Comsure by transferring the full amount (include any charges) to:
Comsure Compliance Ltd
NATWEST, Jersey Branch
Sort: 60-12-03
A/C: 26400669
 Please quote reference **'YOUR CO NAME + DATE OF COURSE'**

DATA PROTECTION

If you wish to receive details from **COMSURE** regarding further training events, please tick here

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UK | JERSEY | GUERNSEY | MAURITIUS | SEYCHELLES
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