



# GENERAL AML FOR ALL EMPLOYERS

The Ultimate Update on Money Laundering Risk!

## WORKSHOP OVERVIEW

This workshop provides a comprehensive, practical analysis of anti-money laundering legislation and implementation. We will help get you and your employees to grips with the complexities associated with AML risk.

This highly practical workshop is filled with the latest developments both regulatory and otherwise. It is designed for all relevant employees, both customer facing and non-customer facing, who need to have an appreciation of money laundering risk. Attending these courses will satisfy the regulatory requirement on the firm to ensure their staff are regularly made aware of the Anti-Money Laundering regime.

## TARGET AUDIENCE

All practitioners regulated under the AML/CTF rules including:

- Front office employees
- Middle office employees
- Back office employees
- MLRO, MLCO, NEDS
- Lawyers and accountants

## BACKGROUND

All financial businesses are obliged to provide employees with information on their responsibilities regarding anti-money laundering and the financing of terrorism. This requirement includes temporary and contract staff, and the staff of only third parties fulfilling a function under an outsourcing agreement.

In order to comply with requirements, employers must ensure staff achieve and maintain an appropriate level of competence. Comsure training will refresh awareness of the requirements under the Money Laundering rules. This workshop includes reference to the regulatory handbook, regulatory objectives, enforcement actions, and other regulatory best practice guidance.

## IN-HOUSE TRAINING - Hold this course at your convenience

This programme and the issues it addresses can be delivered at a time, location and place to fit your business schedule. In-house training can be tailored to focus on the specific needs and challenges facing your staff. We will develop and provide all course materials and a trainer to make your investment a success.

For details on in-house training for this particular workshop, please contact Mathew Beale. Call: +44 (0)1534 626841 or Email: mathewbeale@comsuregroup.com

## GENERAL AML FOR ALL EMPLOYERS



### DETAILS

Date: **14th November 2013**

Time: **08:15 Registration**

**08:30 Start**

**11:30 Finish**

Venue: **Royal Yacht Hotel**

CPD: **3 Hours**

Cost: **£110.00**

- *If you book by the 31st October you will receive a 20% discount*
- *For every 3 employees on any one session receive a 4th place FREE*



## GENERAL AML FOR ALL EMPLOYERS - WORKSHOP AGENDA

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|--|---|
| 1. <b>AML Policies and Procedures</b>  | 6. <b>Government and other Sanction risk (e.g. HMT, EU, OFAC etc)</b> |
| 2. <b>What is the difference and why are they important?</b>                   | 7. <b>Understanding the difference between KYC - ID&amp;V - CDD</b>   |
| 3. <b>Probability of an offence crystallising - using leading case studies</b> | 8. <b>Profiling customers - what does it mean?</b>                    |
| 4. <b>Risk of not reporting - using real case studies</b>                      | 9. <b>What you need to do</b>   |
| 5. <b>Understanding what ML &amp; TF is - dispelling the myths!</b>            | 10. <b>Building and using trigger events</b>                          |
|  | 11. <b>Summary and key messages</b>                                   |

## WORKSHOP STYLE

This workshop is designed to be participative throughout. The agenda combines key formal presentations, case studies and syndicate discussion sessions. There will be ample opportunity for delegates to benchmark the experiences with industry peers and raise issues of concern to their organisation, either confidentially or in the open session.

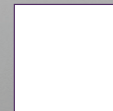
**An optional online competency test is available to each attendee. The results of the test are made available to the firm's MLRO (additional cost of £35 per employee).**

## LEARNING OUTCOMES

- Highlight why AML is still important
- Provide awareness of all AML risks
- Provide context to a firm's policies & procedures
- A reminder of statutory obligations
- A refresh on reporting obligations
- A reminder on the importance of corporate governance
- A look at why CDD is so important
- Tips on what works best for your organisation
- Advice on engaging the right stakeholders
- Tips on making yourself heard in the boardroom

## ABOUT YOUR SPEAKER

### Mathew Beale, Director of Comsure Group



Mathew Beale, FCSI AIFP, is a Fellow of the Chartered Institute for Securities & Investment and founder of Comsure. Between 1995 and 2002, he worked for the body that is now known as the Jersey Financial Services Commission, where he was responsible for the day-to-day conduct of business of regulated entities and where he reached the position of Senior Compliance Manager.

Since 2002, he has worked within private practice in all aspects of regulatory compliance including: Assisting in the undertaking of reporting professional projects for the Jersey Financial Services Commission; Being involved in the preparation of the anti-money laundering guidance notes in Mauritius; Writing and delivering training courses relating to the field of compliance for private and public bodies. Mathew is also a senior lecturer on the International Compliance Association's (ICA) Introductory Certificate in Compliance, the International (and UK) Diploma in Compliance and the UK Diploma in Anti-Money Laundering. He is also an acknowledged co-author of the materials used by the ICA in their UK and International Diplomas in Compliance. Since 2005, he has worked within Comsure in all aspects of regulatory compliance; taking him as far as Mauritius on specialised assignments and the Seychelles where he has assisted the regulator in delivering specialised training.

# BOOKING FORM

[www.comsuregroup.com](http://www.comsuregroup.com)

Please return this form by email to [training@comsuregroup.com](mailto:training@comsuregroup.com) or by post to the address below.

Course/seminar title:	<b>GENERAL AML FOR ALL EMPLOYERS</b>
Course/seminar date:	<b>14 November 2013</b>
Delegate's name:	
Delegate's job title:	
Delegate's email address:	
Company name:	
Person booking this course/key contact: (if not the delegate)	
Key contact's telephone:	
Key contact's email:	

**10% DISCOUNT ON ALL 4 SESSIONS BOOKED OR 4TH PLACE FREE FOR EVERY 3 EMPLOYEES ON THE SAME SESSION**

## BOOKING DETAILS

Please reference your payment with your name & course title.

**Confirmation:** You will receive confirmation from us within 4 working days of registering.

**Cancellations:** Payment is due once a booking has been made. A full refund, less 20% per person administrative charge, will be made for cancellations made by letter and received 10 working days before the event. Thereafter, we regret that no refunds can be made. This also applies to delegates who are unable to attend on the day. However, complete substitutions for any of the workshops are welcome.

**Other Information:** The organisers reserve the right to change the venue, speakers or programme. We also reserve the right to cancel the programme, in which case all fees will be refunded.

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**This Form Represents an Invoice and Confirmation of Payment**

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Payment: (All payments must be made prior to the conference date)

**PAYMENT AMOUNT:**

**PLEASE TICK IF PAYING BY CHEQUE.**

Please make cheques payable to **COMSURE** and send to:

**Accounts Manager  
Comsure  
1 Bond Street,  
St Helier,  
JE2 3NP**

**PLEASE TICK IF YOU ARE MAKING ELECTRONIC PAYMENT.**

Electronic payment can be made directly to Comsure by transferring the full amount (include any charges) to:

Comsure Compliance Ltd  
NATWEST, Jersey Branch  
Sort: 60-12-03  
A/C: 26400669

Please quote reference '**YOUR CO NAME + DATE OF COURSE**'

## DATA PROTECTION

If you wish to receive details from **COMSURE** regarding further training events, please tick here

JERSEY | GUERNSEY | LONDON | ISLE OF MAN | MAURITIUS

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