

# Breakfast and Learn

## A Quarterly Guernsey Regulatory Update and Briefing



There never seems to be enough time during the day to get everything done. You can't spare the time to undertake training because there is so much work to be done, but you also can't neglect your CPD training and development. The Comsure breakfast and learn programme maybe the solution. A quarterly 1.5 hour training event starting at 08:30 is an event where you can get your fill of cutting edge knowledge, a bacon sandwich (veggie alternative also available) and be back at your desk for elevenses....!!

**Dates:** 10th February / 24th May / 6th September / 29th November

**Time:** **08:15** Registration  
**08:30** Start  
**10:00** Finish

**Venue:** The Old Government Hotel & Spa

**Cost:** **£80.00**  
*- Sign up for all 4 and save 10%*  
*- For every 3 employees on "any one session" receive a 4th place FREE (4 places for the price of 3).*



### Who should attend?:

1. MDs
2. COs
3. MLROs
4. Senior Managers
5. NEDs
6. Other Interested Parties

## About your Speakers



The Comsure breakfast and learn speakers are from the Collas Crill's Risk and Regulatory Team and will include Paul Wilkes who is a Group Partner in the Risk and Regulatory Team.

During the year Paul will be supported by his fellow team members including Wayne Atkinson, Michael Adkins and Ben Havard.

Collas Crill's Risk and Regulatory Team provide a broad range of services to assist regulated businesses in dealing with the ever increasing pressure in the areas of compliance, regulation and risk management. Their work ranges from strategic matters to specific operational issues, with a view to ensuring that organisations, and their staff, remain protected from the risk of investigations, prosecution, penalties and even loss of licence. The team works extensively with established regulated businesses from every sector, helping them to improve their processes or deal with new issues as they arise. They also have a wealth of experience in steering new ventures through the traps of the establishment and licensing phase.

Collas Crill's team of risk and regulatory specialists will discuss the current regulatory landscape, and share valuable lessons from the front line.



**Paul Wilkes**  
*Group Partner,  
Risk & Regulatory Team*

For more information call Mathew Beale on +44 (0) 1534 626841  
Mobile: +44 (0) 7797 747 490 [mathewbeale@comsuregroup.com](mailto:mathewbeale@comsuregroup.com)

COMSURE: JERSEY | GUERNSEY | LONDON | ISLE OF MAN | MAURITIUS

1 Bond Street, St Helier, Jersey JE2 3NP

Call. +44 (0) 1534 626841 Email. [training@comsuregroup.com](mailto:training@comsuregroup.com) [www.comsuregroup.com](http://www.comsuregroup.com)



# BREAKFAST AND LEARN

## - A QUARTERLY REGULATORY UPDATE AND BRIEFING

### *Breakfast and learn – structure*

#### **1. Regulatory and Compliance Update - [Mathew Beale – MD Comsure]**

*This part of the session will take up to 15 – 20 minutes depending on market events and updates. The session will focus on regulatory market issues such as anti money laundering initiatives and updates from Jersey, the UK and further afield, including matters from FATF, transparency international and other such bodies.*

#### **2. Specific topic – Guernsey Collas Crill team – the 2012 programme will look at the following:**

- a. **QTR1 (10th February) – Corporate Governance** - The Guernsey Financial Services Commission (GFSC) has issued its Code of Corporate Governance. The Code applies to all companies holding licences from the GFSC under relevant regulatory laws such as banks, captive insurance managers and fiduciaries as well as investment funds and provides a statement of what the commission views as good corporate governance practices. This workshop will look at what needs to be done as a result of the Code's introduction, when and why. It also provides a valuable lead into workshops 2, 3 and 4.  
Q1 will be by Paul Wilkes (a group partner) and Wayne Atkinson (a senior associate)
- b. **QTR2 (24th May) - The Compliance Monitoring Programme (CMP)** - Whether you work in an investment business or another sector (bank, insurance, trust etc) a CMP is an essential tool to map your compliance with both external and internal rules and demonstrate that you have considered how you meet your regulatory obligations and have mitigated the risks associated with your business. This workshop will consider what an effective CMP is and how it should be implemented.  
Q2 will be by Wayne Atkinson and Ben Havard (an associate)
- c. **QTR3 (6th September) – Money Laundering – answering the questions you have always been afraid to ask.** – if you are struggling to interpret the requirements of the handbook and would like some assistance, this workshop is designed to help. The workshop has been designed to assist firms not only to build appropriate policies and procedures to prevent and detect money laundering, but to manage the risk of not doing it the way the GFSC want you to!  
Q3 will be by Michael Adkins (a senior associate) and Ben Havard
- d. **QTR4 (29th November) – Dealing With The Regulator When Things Go Wrong** – it is critical that all regulated businesses deal with the regulator in an open and honest manner. But what happens when things go wrong? What should you do and how should you do it? This workshop will look at the GFSC enforcement process that ranges from a poor regulatory visit resulting and compliance & operational remediation through to something more serious including revoking a regulatory licence and fining.  
Q4 will be by Paul Wilkes and Michael Adkins

### Your Speakers



**Paul Wilkes**



**Wayne Atkinson**



**Ben Havard**



**Michael Adkins**

# Booking Form

www.comsuregroup.com

Please return this form by email to [training@comsuregroup.com](mailto:training@comsuregroup.com) or by post to the address below.

Course/seminar title: <b>GUERNSEY REGULATION UPDATE</b>
Course/seminar date: <b>10 FEB / 24 MAY / 6 SEPT / 29 NOV</b> (please circle your chosen sessions)
Delegate's name:
Delegate's job title:
Delegate's email address:
Company name:
Person booking this course/key contact: (if not the delegate)
Key contact's telephone:
Key contact's email:

## Booking details

**Please reference your payment with your name & course title.**

**Confirmation:** You will receive confirmation from us within 4 working days of registering.

**Cancellations:** Payment is due once a booking has been made. A full refund, less 20% per person administrative charge, will be made for cancellations made by letter and received 10 working days before the event. Thereafter, we regret that no refunds can be made. This also applies to delegates who are unable to attend on the day. However, complete substitutions for any of the workshops are welcome.

**Other Information:** The organisers reserve the right to change the venue, speakers or programme. We also reserve the right to cancel the programme, in which case all fees will be refunded.

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**This Form Represents an Invoice and Confirmation of Payment**

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Payment: (All payments must be made prior to the conference date)

**Please tick if paying by cheque.**

Please make cheques payable to **COMSURE** and send to:  
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**St Helier,**  
**JE2 3NP**

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Please quote reference **YOUR CO NAME + 10 FEB / 24 MAY / 6 SEPT / 29 NOV** or alternatively **"CCGSY ALL DATES"**

## Data Protection

If you wish to receive details from **COMSURE** regarding further training events, please tick here

**COMSURE** will process your data in accordance with the terms of the Data Protection (Jersey) Law 2005.

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